

## **902 KAR 20:036. Operation and services; personal care homes.**

RELATES TO: KRS 194A.700(1), (9), (11), 202A.011(12), 209.030, 209.032, 216.510 – 216.525, 216.532, 216.765, 216.785-216.793, 216A.080, 216B.010-216B.130, 216B.990, 310.021, 310.031, 21 C.F.R. Part 1317

STATUTORY AUTHORITY: KRS 216B.042

NECESSITY, FUNCTION, AND CONFORMITY: KRS 216B.042(1) requires the Cabinet for Health and Family Services to promulgate administrative regulations necessary for the proper administration of the licensure function, which includes establishing licensure standards and procedures to ensure safe, adequate, and efficient health facilities and health services. This administrative regulation establishes minimum licensure requirements for the operation of and services provided by personal care homes.

Section 1. Definitions. (1) "Activities of daily living" or "ADL" is defined by KRS 194A.700(1).

(2) "Administrator" means an individual who:

(a)1. Has a license to practice long-term care administration pursuant to KRS 216A.080; or

2. Has a high school diploma, a general equivalency diploma (GED), or qualifying documentation from a comparable educational entity; and

(b) Is age twenty-one (21) or older.

(3) "Ambulatory" means able to walk without assistance.

(4) "Certified nutritionist" means a health care professional who is certified pursuant to KRS 310.031.

(5) "Instrumental activities of daily living" or "IADL" is defined by KRS 194A.700(9).

(6) "Licensed dietician" means a health care professional who is licensed pursuant to KRS 310.021.

(7) "Mobile nonambulatory" is defined by KRS 194A.700(11) and includes the ability to:

(a) Self exit the building; and

(b) Transfer independently or with minimal assistance from bed-to-chair.

(8) "Nonambulatory" means unable to walk without assistance.

(9) "Nonmobile" means unable to move from place to place.

(10) "Personal care home" or "PCH" means an establishment located in a permanent building that has resident beds and provides:

(a) Supervision of residents;

(b) Basic health and health-related services;

(c) Personal care services;

(d) Residential care services; and

(e) Social and recreational activities.

(11) "Qualified mental health professional" or "QMHP" is defined by KRS 202A.011(12).

(12) "Restraint" means any pharmaceutical agent or physical or mechanical device used to restrict the movement of a portion of a patient's body.

(13) "Serious mental illness" or "SMI" means a mental illness or disorder (but not a primary diagnosis of Alzheimer's disease or dementia), that is described in the Diagnostic and Statistical Manual of Mental Disorders (DSM), 5th Edition, or the DSM currently in use, that impairs or impedes functioning in one (1) or more major areas of living and is unlikely to improve without treatment, services, or supports.

(14) "Specialized personal care home" or "SPCH" means a personal care home that:

(a) Participates in the mental illness or intellectual disability supplement program pursuant to 921 KAR 2:015, Section 13; or

(b) Serves residents with thirty-five (35) percent or more having an SMI.

Section 2. Scope of Operations and Services. (1) A resident in a PCH or SPCH shall:

- (a) Be admitted in accordance with KRS 216.765;
- (b) Be ambulatory or mobile nonambulatory;
- (c) Be able to manage most of the activities of daily living; and
- (d) Have care needs that do not exceed the capability of the PCH or SPCH.

(2) An individual who is nonambulatory or nonmobile shall not be eligible for residence in a PCH or SPCH.

Section 3. Administration and Operation. (1) Licensee. The licensee shall be legally responsible for:

- (a) The operation of the PCH or SPCH;
- (b) Compliance with federal, state, and local laws and administrative regulations pertaining to the operation of the facility;
- (c) The development and implementation of policies related to administration and operation of the facility; and
- (d) If the licensee is an SPCH, the development and implementation of written transition procedures to ensure cooperation with an individual or entity that assists with transitioning residents with an SMI to community living arrangements.

(2) Administrator.

(a) The administrator shall:

- 1. Be responsible for the day-to-day operation of the PCH or SPCH; and
- 2. Designate one (1) or more staff to act on behalf of the administrator or to perform the administrator's responsibilities in the administrator's absence.

(b) Each SPCH shall ensure that the administrator completes the mental illness or intellectual disability training workshop established by 921 KAR 2:015, Section 14, within six (6) months from the most recent effective date of this administrative regulation and every two (2) years thereafter.

(3) Admission.

(a) A PCH or SPCH shall not care or be responsible for the care of more residents than the capacity indicated on the license.

(b) Upon admission, a PCH or SPCH shall provide the resident and a responsible member of the resident's family or other designated representative with written information regarding the facility's policies, including:

- 1. Services offered and charges;
- 2. The right to visitation with family and friends, subject to visiting rules and hours established by the facility; and
- 3. Meal services.

(c) Prior to admission, each resident shall have a complete medical examination in accordance with KRS 216.765.

(d) No later than three (3) months from the most recent effective date of this administrative regulation, a PCH or SPCH shall complete the SMI Screening Form for each current resident. Upon admission, a PCH or SPCH shall complete the SMI Screening Form for each new or returning resident.

(4) Patient rights. Patient rights shall be provided for pursuant to KRS 216.510 to 216.525.

(5) Adult protection. PCHs and SPCHs shall have written policies that assure the reporting of allegations of abuse, neglect, or exploitation of adults pursuant to KRS 209.030, including evidence that all allegations of abuse, neglect, or exploitation shall be thoroughly investigated internally to prevent further potential abuse while the investigation is in progress.

(6) Transfer and discharge. (a) PCHs and SPCHs shall:

1. Comply with the requirements of 900 KAR 2:050 upon transferring or discharging a resident; and
2. Have written transfer procedures and agreements for the transfer of residents to a higher intensity level of care, if indicated.

(b) A PCH or SPCH that does not have a transfer agreement in effect, but has attempted in good faith to enter into an agreement shall be considered to be in compliance with the requirements of paragraph (a)2. of this subsection.

(c) The transfer procedures and agreements shall:

1. Specify the responsibilities each party assumes in the transfer of residents;
2. Establish responsibility for notifying the other party of an impending transfer; and
3. Arrange for safe transportation and transfer of files.

(d) The administrator shall initiate a transfer through the resident's physician or appropriate agencies if the resident's condition is not within the scope of services of the PCH or SPCH.

(e) PCH or SPCH resident records.

1. If a resident transfers to another health care facility, a current summary of the resident's medical record shall accompany the resident.

2. If a resident transfers to another level of care within the same facility, a copy of the resident's record or current summary of the resident's medical record shall accompany the resident.

3. If a resident transitions into a community living setting, a current summary of, or a copy of the resident's records shall be provided to the resident and the resident's guardian.

(7) Tuberculosis Testing. (a) All employees of a PCH or SPCH shall be screened and tested for tuberculosis in accordance with 902 KAR 20:205.

(b) Residents of a PCH or SPCH shall be screened and tested in accordance with 902 KAR 20:200.

(8) Personnel.

(a) In accordance with KRS 216.532, a PCH or SPCH shall not employ or be operated by an individual who is listed on the nurse aide and home health aide abuse registry established by 906 KAR 1:100.

(b) In accordance with KRS 209.032, a PCH or SPCH shall not employ or be operated by an individual who is listed on the caregiver misconduct registry established by 922 KAR 5:120.

(c) A PCH or SPCH shall obtain a criminal record check on each applicant for initial employment in accordance with KRS 216.789 and 216.793.

(d) Current employee records shall be maintained on each staff member and contain:

1. Name and address;
2. Verification of all training and experience, including evidence of current licensure, registration, or certification, if applicable;
3. Employee health records;
4. Annual performance evaluations; and
5. Documentation of compliance with the background check requirements of paragraphs (a) through (c) of this subsection.

(e) Each employee shall be of an age in conformity with state laws.

(f) An employee who contracts a communicable or an infectious disease shall:

1. Be immediately excluded from work; and
2. Remain off work until cleared as noninfectious by a health care practitioner acting within the practitioner's scope of practice.

(g) Each dietary staff member shall wear a hair net.

(h) In-service training.

1. Each PCH or SPCH employee shall receive orientation and annual in-service training that corresponds with the staff member's job duties.

2. Documentation of orientation and in-service training shall be maintained in the employee's record and shall include:

- a. Name of the individual or individuals who provided the training;
- b. Date and number of hours the training was given; and
- c. A summary of the training program's content.

3. In-service training shall include:

- a. Policies regarding the responsibilities of specific job duties;
- b. Services provided by the facility;
- c. Recordkeeping procedures;
- d. Procedures for the reporting of cases of adult abuse, neglect, or exploitation pursuant to KRS 209.030;
- e. Resident rights established by KRS 216.510 to 216.525;
- f. Adult learning principles and methods for assisting residents to achieve maximum abilities in ADLs and IADLs;
- g. Procedures for the proper application of emergency manual restraints;
- h. Procedures for maintaining a clean, healthful, and pleasant environment;
- i. The aging process;
- j. The emotional problems of illness;
- k. Use of medication; and
- l. Therapeutic diets.

4. Each SPCH shall ensure that at least one (1) direct care staff member in addition to the administrator completes the mental illness or intellectual disability training workshop established by 921 KAR 2:015, Section 14, within six (6) months from the most recent effective date of this administrative regulation and every two (2) years thereafter. An SPCH shall employ at least one (1) direct care staff member who has received the training.

(i) Staffing requirements.

1. The number of personnel required shall be based on:

- a. The number of patients; and
- b. Amount and kind of personal care, health care, and supervision needed to meet the needs of the residents.

2. The administrator shall designate one (1) or more staff members to be responsible for:

- a. Recordkeeping;
- b. Basic health and health related services; and
- c. Activity services.

3. Each PCH or SPCH shall have a full-time staff member who shall be:

- a. Responsible for the total food service operation of the facility; and
- b. On duty a minimum of thirty-five (35) hours each week.

4. A PCH or SPCH shall ensure that sufficient staff, but no less than one (1) staff member shall be awake and on duty on each floor in the facility at all times.

(9) Medical records.

(a) The PCH or SPCH administrator or staff member in charge of medical records shall assure that a complete medical record is kept for each resident with all entries current, dated, and signed.

(b) Each record shall include:

1. Identification information, including:

- a. Resident's name;
- b. Social Security, Medicare, and Medical Assistance identification number (if appropriate);

- c. Marital status;
  - d. Birthdate;
  - e. Age;
  - f. Sex;
  - g. Home address;
  - h. Religion and personal clergyman, if any (with consent of the resident);
  - i. Attending physician, health care practitioner acting within the practitioner's scope of practice, QMHP, dentist, and podiatrist, if any, and address and phone number for each;
  - j. Next of kin or responsible person, address, and telephone number;
  - k. Date of admission and discharge;
  - l. If the resident is discharged, transferred, or transitioned to a community living arrangement, a copy of the summary of resident's records; and
  - m. Monthly recording of the resident's weight;
2. If admitted from another facility, a discharge summary or transfer summary;
  3. Admitting medical evaluation;
  4. Report by the physician or health care practitioner acting within the practitioner's scope of practice, documenting completion of an annual medical evaluation of each resident;
  5. Physician, health care practitioner, or QMHP progress notes indicating any changes in the resident's condition, documented at the time of each visit by the physician, health care practitioner, QMHP, or consultant;
  6. Orders for medication or therapeutic services;
  7. Nurses' or staff notes indicating any changes in the resident's condition as changes occur;
  8. Documentation of any accident, injury, illness, medication error, or drug reaction impacting the resident;
  9. Documentation of social services, dental, laboratory, x-ray, or reports from consultants or therapists if the resident receives any of these services;
  10. Medication and treatment sheets, including all medications, treatments, and special procedures performed for that resident, with the date and time of each service documented and initialed by the individual rendering treatment or administering medication;
  11. Documentation of the use of an emergency manual restraint for that resident, including justification for why the procedure was used;
  12. Documentation of the resident's discharge, transfer, or transition destination, if applicable; and
  13. Monthly documentation of ADL and IADL skills instruction provided to, or made available and refused by, the resident if the resident is an SPCH resident who is transitioning to living independently in the community pursuant to 908 KAR 2:065.
- (10) Retention of records. After death or discharge, the completed medical record shall be placed in an inactive file and retained for at least six (6) years.

#### Section 4. Provision of Services. (1) Basic health and health related services.

(a) A PCH or SPCH shall provide basic health and health related services, including:

1. Supervision and monitoring of the resident to assure that the resident's health care needs are met;
2. Supervision of self-administration of medications;
3. Storage and control of medications; and
4. Arranging for therapeutic services ordered by the resident's health care practitioner, if the services are not available in the facility.

(b) For a PCH or SPCH, the administrator or staff person designated by the administrator

shall, relating to the provision of basic health and health-related services:

1. Be responsible for obtaining medical care promptly in response to an accident, injury, or acute illness of any resident; and

2. Document any accident, injury, illness, incident, medication error, or drug reaction in the resident's medical record.

(c) Medications or therapeutic services shall not be administered or provided to any resident, except on the order of a licensed physician or other health care practitioner as authorized under the practitioner's scope of practice.

(d) Administration of all medications and delivery of therapeutic services shall be recorded in the resident's medical record.

(e) If an order is received by telephone, the order shall be:

1. Recorded in the resident's medical record; and

2. Signed by the physician or other health care practitioner as authorized under the practitioner's scope of practice within fourteen (14) days.

(f)1. The administrator or staff person designated by the administrator shall make a written report of any incident or accident involving a:

- a. Resident, including a medication error or drug reaction;

- b. Visitor; or

- c. Staff member.

2. The report shall:

- a. Identify any staff member who might have been witness to the incident; and

- b. Be filed in an incident file.

(g)1. Controlled substances. A PCH or SPCH shall not keep any controlled substances or other habit forming drugs, hypodermic needles, or syringes except under the specific direction of a prescribing practitioner.

2. Controlled substances shall be kept under double lock, for example stored in a locked box in a locked cabinet.

3. There shall be a controlled substances bound record book with numbered pages that includes:

- a. Name of the resident;

- b. Date, time, kind, dosage, and method of administration of each controlled substance;

- c. Name of the practitioner who prescribed the medications; and

- d. Name of the:

- (i) Nurse who administered the controlled substance; or

- (ii) Staff member who supervised self-administration by a resident whose medical record includes a written determination from a health care practitioner that the resident is able to safely self-administer a controlled substance under supervision.

4. A staff member with access to controlled substances shall be responsible for maintaining a recorded and signed:

- a. Schedule II controlled substances count daily; and

- b. Schedule III, IV, and V controlled substances count at least one (1) time per week.

5. All expired or unused controlled substances shall be disposed of, or destroyed in accordance with 21 C.F.R. Part 1317 no later than thirty (30) days:

- a. After expiration of the medication; or

- b. From the date the medication was discontinued.

6. If controlled substances are destroyed on-site:

- a. The method of destruction shall render the drug unavailable and unusable;

- b. The administrator or staff person designated by the administrator shall be responsible for destroying the controlled substances with at least one (1) witness present; and

c. A readily retrievable record of the destroyed controlled substances shall be maintained for a minimum of eighteen (18) months from the date of destruction and contain the:

- (i) Date of destruction;
- (ii) Resident name;
- (iii) Drug name;
- (iv) Drug strength;
- (v) Quantity;
- (vi) Method of destruction;
- (vii) Name of the person responsible for the destruction; and
- (viii) Name of the witness.

7. A PCH or SPCH that stores and administers controlled substances in an emergency medication kit (EMK) shall comply with the:

- a. Requirement for licensed personnel established by 201 KAR 2:370, Section 2(4)(i);
- b. Requirements for storage and administration established by 902 KAR 55:070, Section 2(2), (5), (7), (8), and (9); and
- c. Limitation on the number and quantity of medications established by 902 KAR 55:070, Section 2(6).

(h) All resident medications shall be plainly labeled with the:

- 1. Resident's name;
- 2. Name of the drug;
- 3. Strength;
- 4. Name of the pharmacy;
- 5. Prescription number;
- 6. Date;
- 7. Prescriber's name; and

8. Caution statements and directions for use, unless a modified unit dose drug distribution system is used.

(i) 1. All medicines kept by the PCH or SPCH shall be kept in a locked place.

2. The administrator or staff person designated by the administrator shall:

- a. Be responsible for administering or supervising the self-administration of medication;
- b. Ensure that all medications requiring refrigeration are kept in a separate locked box in the refrigerator in the medication area; and
- c. Ensure that drugs for external use are stored separately from those administered by mouth and injection.

(j) 1. A PCH or SPCH that stores and administers non-controlled substances in an EMK shall comply with the:

- a. Requirement for licensed personnel established by 201 KAR 2:370, Section 2(4)(i); and
- b. Limitation on the number and quantity of medications established by 201 KAR 2:370, Section 2(4)(b).

2. A PCH or SPCH that stores and administers non-controlled substances from a long-term care facility (LTCF) drug stock shall comply with the limitation on the number and quantity of medications established by 201 KAR 2:370, Section 2(5)(b).

(k) 1. If a resident manifests persistent behavior that might require psychiatric treatment, the PCH or SPCH shall notify the resident's physician or health care practitioner acting within the practitioner's scope of practice to evaluate and direct the resident's care.

2. If the resident's condition does not improve for continued stay in a PCH or SPCH, the physician or health care practitioner shall initiate transfer of the resident to an appropriate facility as soon as possible.

(l) Use of restraints.

1. Chemical and physical restraints shall not be used, except as authorized by KRS 216.515(6).

2. Restraints that require a lock and key shall not be used.

3. Emergency use of a manual restraint shall be applied only by appropriately trained personnel if:

a. A resident poses an imminent risk of physical harm to self or others; and

b. The emergency manual restraint is the least restrictive intervention to achieve safety.

4. Restraints shall not be used as:

a. Punishment;

b. Discipline;

c. Convenience for staff; or

d. Retaliation.

(m) Each resident shall have an annual medical evaluation by a physician or health care practitioner acting within the practitioner's scope of practice..

(n) Communicable diseases. If a resident or prospective resident is suspected or confirmed as having a communicable disease for which a reasonable probability of disease transmission exists in the PCH or SPCH, the administrator or staff person designated by the administrator shall:

1. Contact a physician; and

2. Ensure that appropriate measures are taken to treat the resident with the communicable disease and prevent the disease from spreading.

(2) Residential care services. A PCH or SPCH shall provide residential care services to all residents, including:

(a) Room accommodations;

(b) Housekeeping and maintenance services; and

(c) Dietary services.

(3) A PCH or SPCH shall meet the following requirements relating to the provisions of residential care services:

(a) Room accommodations.

1. A PCH or SPCH shall provide each resident with:

a. A bed that is at least thirty-six (36) inches wide;

b. A clean, comfortable mattress with a support mechanism;

c. A mattress cover;

d. Two (2) sheets and a pillow; and

e. Bed covering to keep the resident comfortable.

2. Each bed shall be placed so that a resident does not experience discomfort because of proximity to a radiator, heat outlet, or exposure to a draft.

3. Except for married couples or domestic partners, there shall be separate sleeping quarters for males and females.

4. A PCH or SPCH shall provide:

a. Window coverings;

b. Bedside tables with reading lamps, if appropriate;

c. Comfortable chairs;

d. A chest or dresser with a mirror for each resident; and

e. A night light.

5. A resident shall not be housed in a room, detached building, or other enclosure that has not previously been inspected and approved for residential use by the Office of Inspector General and the Department of Housing, Buildings and Construction.

6. Basement rooms shall not be used for sleeping rooms for residents.



7. Residents may have personal items and furniture, if feasible.

(b) Housekeeping and maintenance services.

1. A PCH or SPCH shall:

a. Maintain a clean and safe facility free of unpleasant odors; and

b. Ensure that odors are eliminated at their source by prompt and thorough cleaning of commodes, urinals, bedpans, and other sources.

2. A PCH or SPCH shall:

a. Have available at all times a quantity of clean linen essential to the proper care and comfort of residents;

b. Ensure that soiled clothing and linens receive immediate attention and not be allowed to accumulate;

c. Ensure that clothing or bedding used by one (1) resident shall not be used by another resident unless laundered or dry cleaned;

d. Ensure that each resident's personal clothing and bed linens are laundered as often as is necessary;

e. Maintain responsibility for laundering each resident's personal clothing unless the resident or the resident's family accepts this responsibility;

f. Provide laundry equipment for each resident who is capable of, and chooses to launder his or her personal clothing; and

g. Label or mark each resident's personal clothing if laundered by the facility for return to the correct resident.

3. Safety. The condition of the overall environment shall be maintained in such a manner that the safety and well-being of residents, personnel, and visitors is assured.

4. Maintenance. The premises shall be well kept and in good repair as established in clauses a. through d. of this subparagraph.:

a. The facility shall insure that the grounds are well kept and the exterior of the building, including the sidewalk, steps, porches, ramps, and fences, are in good repair.

b. The interior of the building, including walls, ceilings, floors, windows, window coverings, doors, plumbing, and electrical fixtures, shall be in good repair. Windows and doors shall be screened.

c. Garbage and trash shall be stored in areas separate from those used for the preparation and storage of food and shall be removed from the premises regularly. Containers shall be cleaned regularly.

d. A pest control program shall be in operation in the facility. Pest control services shall be provided by maintenance personnel of the facility or by contract with a pest control company. Care shall be taken to use the least toxic and least flammable insecticides and rodenticides. The compounds shall be stored under lock if stored by the facility.

(c) Dietary services.

1. Dining area. A dining area shall be available for the residents.

2. Therapeutic diets. If the facility provides therapeutic diets and the staff member responsible for food services is not a license dietitian or certified nutritionist, the responsible staff person shall consult with a licensed dietitian or certified nutritionist.

3. Menu planning.

a. Menus shall be planned in writing and rotated to avoid repetition.

b. A PCH or SPCH shall meet the nutrition needs of residents in accordance with physician's orders.

c. Except as established in clause e. of this subparagraph, meals shall correspond with the posted menu.

d. Menus shall be planned and posted one (1) week in advance.

e. If changes in the menu are necessary:

(i) Substitutions shall provide equal nutritive value;

(ii) The changes shall be recorded on the menu; and

(iii) Menus shall be kept on file for at least thirty (30) days.

4. Food preparation and storage.

a. There shall be at least a three (3) day supply of food to prepare well-balanced, palatable meals.

b. Food shall be prepared with consideration for any individual dietary requirement.

c. Modified diets, nutrient concentrates, and supplements shall be given only on the written order of a physician.

d. At least three (3) meals per day shall be served with not more than a fifteen (15) hour span between the evening meal and breakfast.

e. Between-meal snacks, including an evening snack before bedtime, shall be offered to all residents.

f. Adjustments shall be made if medically contraindicated.

g. Food shall be:

(i) Prepared by methods that conserve nutritive value, flavor, and appearance; and

(ii) Served at the proper temperature and in a form to meet individual needs.

h. A file of tested recipes, adjusted to appropriate yield, shall be maintained.

i. Food shall be cut, chopped, or ground to meet individual needs.

j. If a resident refuses food served, substitutes shall be offered.

k. All opened containers or leftover food items shall be covered and dated when refrigerated.

l. Ice water shall be readily available to the residents at all times.

m. Food services shall be provided in accordance with 902 KAR 45:005.

(4) Personal care services. All PCHs and SPCHs shall provide services to assist residents with activities of daily living to achieve and maintain good personal hygiene, including assistance as needed with:

(a) Bathing. The facility shall provide soap, clean towels, and wash cloths for each resident and ensure that toilet articles such as towels, brushes, and combs are not used in common;

(b) Shaving;

(c) Cleaning and trimming of fingernails and toenails;

(d) Cleaning of the mouth and teeth to maintain good oral hygiene, and care of the lips to prevent dryness and cracking. The facility shall provide all residents with tooth brushes, a dentifrice, and denture containers, if applicable; and

(e) Washing and grooming.

(5) Activity services.

(a) All PCHs and SPCHs shall provide social and recreational activities to:

1. Stimulate physical and mental abilities to the fullest extent;

2. Encourage and develop a sense of usefulness and self-respect;

3. Prevent, inhibit, or correct the development of symptoms of physical and mental regression; and

4. Provide sufficient variety to meet the needs of each resident.

(b) All PCHs and SPCHs shall meet the requirements established in subparagraphs 1. through 8. of this paragraph relating to the provision of activity services.

1. Staff. The administrator:

a. Shall designate a staff member to be responsible for the activity program; and

b. May accept services from a volunteer group to assist with carrying out the activity program.

2. There shall be a planned activity period each day.
  3. The schedule shall be current and posted.
  4. The activity program shall be planned for group and individual activities, both within and outside of the facility.
  5. The staff member responsible for the activity program shall maintain a current list of residents in which precautions are documented regarding if a resident's condition might restrict or modify the resident's participation in the program.
  6. A living or recreation room and outdoor recreational space shall be provided for residents and their guests.
  7. The facility shall provide supplies and equipment for the activity program.
  8. Reading materials, radios, games, and TV sets shall be provided for the residents.
- (c) An SPCH shall collaborate with the agency or team that is working with residents transitioning to community living pursuant to 908 KAR 2:065 to offer basic instruction in ADLs and IADLs to each resident who is identified as working to transition to independent community living pursuant to 908 KAR 2:065. ADL and IADL skills training shall include instruction that is integrated into the normal rhythms of life.
- (6) For purposes of subsection (5)(c) of this section, the following shall apply:
- (a) "Basic instruction" in ADLs and IADLs shall have the same meaning as "assistance with" ADLs and IADLs;
  - (b) An SPCH shall not be responsible for selecting which residents receive basic instruction in ADLs and IADLs; and
  - (c) An SPCH shall not be responsible for ensuring that a resident has mastered each task defined as an ADL or IADL.

Section 5. Material Incorporated by Reference. (1) The form, "SMI Screening Form", August 2019, is incorporated by reference.

(2) This material may be inspected, copied, or obtained, subject to applicable copyright law, at the Office of Inspector General, 275 East Main Street, Frankfort, Kentucky 40621, Monday through Friday, 8 a.m. to 4:30 p.m. (8 Ky.R. 227; eff. 11-5-1981; 11 Ky.R. 819; eff. 12-11-1984; 13 Ky.R. 351; eff. 9-4-1986; 18 Ky.R. 815; eff. 10-16-1991; 24 Ky.R. 190; eff. 8-20-1997; 25 Ky.R. 1232; eff. 1-19-1999; Crt eff. 4-30-2019; 46 Ky. R. 3286; 47 Ky.R. 484, 1132; eff. 7-29-2020.)